



UNITED STATES DEPARTMENT OF COMMERCE

## **Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NO./TITLE

09/441; 199 - 11/16/99 - YAMAGUCHI

026271  
BIRCH-STEWART-KOLASCH & BIRCH LLP  
P.O. BOX 747  
FALLS CHURCH VA 22040-0747

2185-0380P

NOT ASSIGNED

1712

12/15/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 130.00.

1. The statutory basic filing fee is:

missing

insufficient

*Applicant must submit \$ \_\_\_\_\_ claiming such status (37 CFR 1.27).*

2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20. **BEST AVAILABLE COP**

2. The following additional claims fees are due:

\$ \_\_\_\_\_ for total claims over 20.

\$ \_\_\_\_\_ for independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

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**Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.**

3. The oath or declaration:  
 is missing or unsigned.  
 does not cover the newly submitted items.  
*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

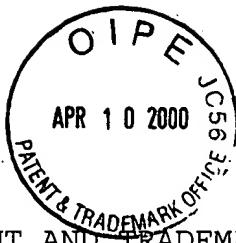
*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

- 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- 7. Your filing receipt was mailed in error because your check was returned without payment.
- 8. The application was filed in a language other than English.  
*Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).*
- 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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BOX MISSING PARTS  
PATENT  
2185-0380P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Takanari YAMAGUCHI et al.

Appl. No.: 09/441,199 Group: 1712

Filed: November 16, 1999 Examiner: UNASSIGNED

For: METHOD OF PRODUCING COMPOSITION  
COMPRISING THERMOPLASTIC RESIN AND  
RUBBER

**THIS IS NOT A NEW PATENT APPLICATION**

LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. § 1.53(f)

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

April 10, 2000

Sir:

The application papers for the above-identified application were originally filed on November 16, 1999 and the application was assigned Appl. No. 09/441,199.

Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) ( original  photocopy), necessary for completing the filing requirements in connection with the above-identified application.

Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on November 16, 1999, including any amendments thereto (if applicable) filed on even date therewith.

The undersigned hereby declares that "Attorney Docket No. 2185-0380P on page 1 of the inventors' Declaration, filed on April 7, 2000, corresponds to Appl. No. 09/441,199, filed November 16, 1999, entitled "METHOD OF PRODUCING COMPOSITION COMPRISING THERMOPLASTIC RESIN AND RUBBER."

Attached are \_\_\_\_\_ (\_\_\_\_) sheet(s) of formal drawings.

Attached is a copy of Form PTO-1533.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

Filing Fee \$0.00

Surcharge ( Large Entity - \$130.00;  
 Small Entity - \$ 65.00)

Attached hereto is a Statement Claiming Small Entity Status ( original  photocopy).

Submitted concurrently herewith **under separate cover** for recording is an Assignment.

No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Application (Form PTO-1533). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Applicant hereby respectfully petitions for a two (2) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$380.00 is attached hereto.

A check in the amount of \$510.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

  
Andrew D. Meikle, #32,868

P.O. Box 747  
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ADM/scd  
2185-0380P

Attachments

(Rev. 01/08/2000)